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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No. 09/814508

(Attorney Docket No. DP-303704)

Filed March 22, 2001

Group Art Unit: 3743 Confirmation No.: 4389

Examiner: LEO, Leonard R.

Inventors: SCOTT EDWARD KENT

JAMES ANDERS

HENRY EARL BEAMER

Title:

**HEAT EXCHANGER TUBE** 

### STATEMENT ACCOMPANYING

### INFORMATION DISCLOSURE STATEMENT

Applicant(s) requests the Examiner to consider and make of record the reference(s) and/or information on attached PTO 1449.

CHECK ONE: (A, B, or C.)

[ ] A. This statement is submitted within 1) three months after the filing date (even if after the first action); or 2) within three months of the date of entry of the national stage or 3) before the mailing date of a first Office Action. No fee or statement is required.

[X] B. This statement is submitted after the period specified in para. A, but before Final Office Action or Notice of Allowance or the close of prosecution.

## **CHECK ONE**: (1, 2, or 3)

- [X] 1. I hereby state that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or
- [ ] 2. I hereby state that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56 (c) more than three months prior to the filing of the information disclosure statement.
- [ ] 3. Charge the fee set forth in § 1.17(p) to Delphi Technologies, Inc. Deposit Account No. 50-0831.
- [ ] C. This statement is submitted after a Final Office Action or Notice of Allowance or the close of prosecution, but before payment of the issue fee. Charge the fee set forth in § 1.17(p) to Delphi Technologies, Inc. Deposit Account No. 50-0831.

### CHECK ONE: (1 or 2)

- [ ] 1. I hereby state that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or
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# **REMARKS UNDER 1.98 (a)(3)**

Each of the cited references is relevant in that it was cited in a foreign counterpart application.

Please consider the attached reference(s) which have come to the attention of Applicants since the last submission of an IDS. If any fee should be required, please charge the appropriate fee to Delphi Technologies, Inc. Deposit Account No. 50-0831.

Respectfully submitted,

PATRICK M. GRAFFIN

Reg. No. 29716

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enc: PTO 1449 & attachments

\*Examiner: Initial if reference considered whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-FB-A820 (also PTO-1449) Patent & Trademark Office-US Dept. of Commerce

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Name: Brenda D. Chambers